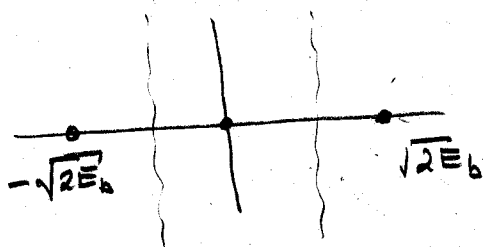


## Performance of the VA

- Is it worth doing? Compare with bit by bit detection
- Bit by bit p 6.4.3

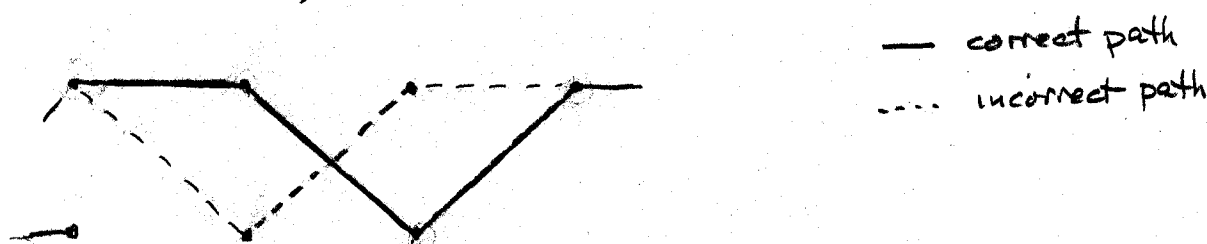


$$P_b = \frac{1}{2} \left( 2Q\left(\frac{\sqrt{2E_b}}{2\sqrt{N_0/2}}\right) + Q\left(\frac{\sqrt{2E_b}}{2\sqrt{N_0/2}}\right) \right)$$

$$= \frac{3}{2} Q(\sqrt{8E_b})$$

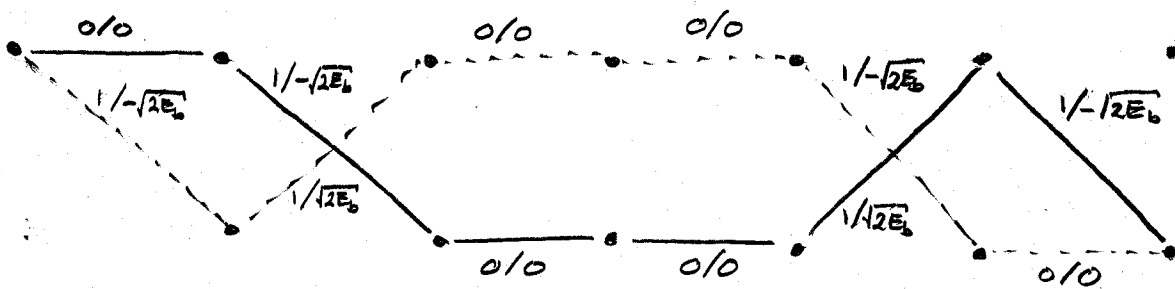
It's 3 dB poorer than binary antipodal.

- Viterbi is more complicated. Suppose that merges have given us the correct state at time  $k$  and again at time  $k+L$ , but differences in between:





- We call this an "error event". We need:
  - the probability of it happening
  - the number of data bits in error
- Summing over all possible error events gives us a union bound (may be more than one of each length in more general trellises) on prob of event, avg # errors.
- Error events are short compared with inter event intervals, so this approximates the BER.

- Without loss of generality, consider an error event starting in state 0. Here is a typical one.



All events start with a divergence and end with a convergence, each of which produces a bit error.

In between, we have:

- zero or more parallel branches , none of which causes a bit error
- zero or more crossovers , none of which causes a bit error.

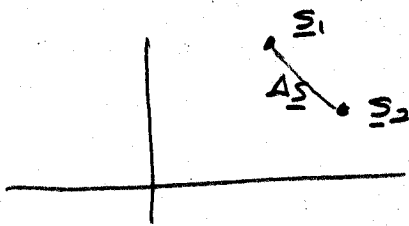
So exactly two bit errors in every possible event.

- What is the probability of that error event? Easier than it looks. Two ways:

- The two rival paths are points in an  $N$ -dimensional signal space, where  $N$  is the number of symbols in the event
- Calculate the metric difference (a random variable because of the noise) and the probability that it is negative.

We'll do both.

- In the signal space approach, we recognize that the paths are signal vectors with components  $+\sqrt{2E_b}$ , 0, and  $-\sqrt{2E_b}$  (following AMI) on the various dimensions. But... only the separation  $|\underline{\Delta s}|$  matters!



The prob of the error event is  $Q\left(\frac{|\underline{\Delta s}|}{2} \cdot \frac{1}{\sqrt{N_0/2}}\right)$  and  $|\underline{\Delta s}|$  is the square root of the energy in the difference waveform. For our example,

$$\underline{\Delta s} \rightarrow -\sqrt{2E_b}, 2\sqrt{2E_b}, 0, 0, -2\sqrt{2E_b}, -\sqrt{2E_b}$$

$$|\underline{\Delta s}|^2 = 2E_b + K8E_b + 2E_b \quad \left( \begin{array}{l} K \text{ crossovers} \\ 0 \leq K \leq N-2 \end{array} \right)$$

$$= 4E_b(1+2K)$$

pairwise

$$P_2 = Q\left(\sqrt{\frac{4E_b(1+2K)}{4 \cdot N_0/2}}\right) = Q\left(\sqrt{2\gamma_b(1+2K)}\right)$$

Even with  $K=0$  crossovers, we are back to the performance of coherent detection.

- Detailed approach based on metric differences. We choose minimum metric, so if

false path metric - true path metric  $< 0$

we have made an error.

The branch metric is  $(r - \hat{s})^2$ . Denote the true and false signals as  $s_i, s'_i$ . Note  $r_i = s_i + n_i$

- True path metric

$$(r_1 - s_1)^2 + (r_2 - s_2)^2 + \dots + (r_N - s_N)^2 = n_1^2 + n_2^2 + \dots + n_N^2$$

- False path metric is  $(s_i - s'_i + n_i)^2$  on each branch

first branch  $(\sqrt{2E_b} + n_1)^2 = 2E_b + 2\sqrt{2E_b} n_1 + n_1^2$

crossover br:  $(\pm 2\sqrt{2E_b} + n_i)^2 = 8E_b \pm 4\sqrt{2E_b} n_i + n_i^2$

parallel br:  $(0 + n_i)^2 = n_i^2$

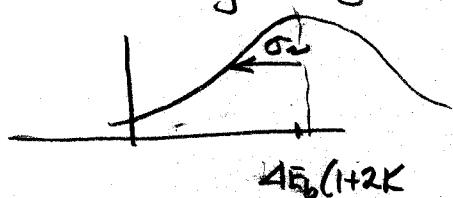
last branch:  $(\pm \sqrt{2E_b} + n_N)^2 = 2E_b \pm 2\sqrt{2E_b} n_N + n_N^2$

- Difference:

$$4E_b + 8KE_b + \underbrace{2\sqrt{2E_b} n_1 \pm 4\sqrt{2E_b} n_i \pm \dots \pm 2\sqrt{2E_b} n_N}_{\nu}$$

$$\sigma_\nu^2 = 16E_b(1+2K)\frac{N_0}{2} = 8E_b(1+2K)N_0$$

- Will it go negative?



$$P_2 = Q\left(\frac{4E_b + 8KE_b}{\sqrt{8E_b(1+2K)N_0}}\right)$$

$$= Q\left(\sqrt{2E_b(1+2K)}\right)$$

## Relating Pairwise Error Prob to BER

- Normally, we see a long sequence of correct states followed by an error event of some length, starting and ending with a bit error, then back to correct states. Since the average error event length is short compared with average interevent length (normal conditions), we ignore it.

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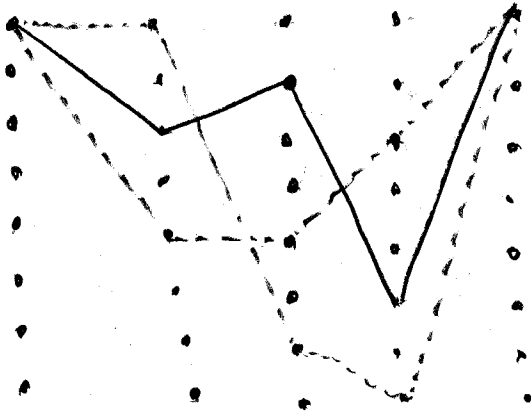
The BER is approximately twice the probability that an error event begins at any correct state.

- Our expression for  $P_2$  is only the start, since there are many possible transmitted sequences  $\mathcal{S}$ , and error events can be of a variety of lengths.
    - Pairwise events are not necessarily mutually exclusive; more than one false sequence could look better than the true sequence.
    - Union bound.
    - A convenient way to organize the computation is by length
- $$P[\text{event}] \leq \sum_{N=2}^{\infty} P_N[\text{event of length } N]$$

- The  $P[E_N]$  depends on the transmitted sequence  $\underline{\sigma}_N$ , so

$$P[E_N] = \sum_{\underline{\sigma}_N} P[E_N | \underline{\sigma}_N] P[\underline{\sigma}_N]$$

- In trellises with many states, there may be several incorrect paths for a  $\underline{\sigma}_N$



(suppose all of these are allowable paths)

In this case, we have to union bound  $P[E_N | \underline{\sigma}_N]$  too:

$$P[E_N | \underline{\sigma}_N] \leq \sum_{\underline{\sigma}'_N} P_2(\underline{\sigma}'_N, \underline{\sigma}_N)$$

We are luckier, with two states



the true sequence determines a unique false sequence

So  $P[E_N | \underline{\sigma}_N] = O(\sqrt{2\sigma_b(1+2K)})$

- For  $P[\underline{\sigma}_N]$ , all the same,  $2^{-N}$ . What counts is the number  $K$  of crossovers in  $N-2$  symbols. Probability

$$\binom{N-2}{K} 2^{-(N-2)}$$

$$\text{— Thus } P_r[EN] = \sum_{k=0}^{N-2} \binom{N-2}{k} 2^{-(N-2)} Q(\sqrt{2\gamma_b(1+2k)})$$

$$\text{and } P_b \leq 2 \sum_{N=2}^{\infty} P_r[EN]$$

— For higher SNR,  $P_r[EN]$  is dominated by the  $k=0$  term,

$$\begin{aligned} \text{and } P_b &\leq 2 Q(\sqrt{2\gamma_b}) \underbrace{\sum_{N=2}^{\infty} \binom{N-2}{0} 2^{-(N-2)}}_2 \\ &= 4 Q(\sqrt{2\gamma_b}) \end{aligned}$$

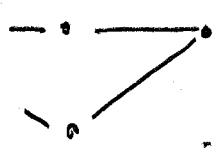
So we really have recovered the 3 dB (apart from the factor of 4 - not a significant issue).

How did this happen?

• The characteristics of the VA can be summarized

- The state transition  $\sigma_{k-1}$  to  $\sigma_k$  determines what the receiver would measure in the absence of noise
- The path metric is accumulative, branch by branch, and is non-decreasing
- At any time, each state has a survivor path leading to it, with the associated metric.
- A fixed amount of computation in the recursion step means that computation is linear in sequence length
- Decisions are tentative until a merge, when they can be released, so variable delay before release.

- Terminate in a known state to bring it to a close



- Performance is determined by multisymbol "error events", in which an allowable, but incorrect, alternative sequence is selected. Pairwise error prob is easily calculated, then union bound