

## Biomedical circuits and systems to recuperate neuromuscular functions

Mohamad Sawan, P. Eng., Ph.D., FCAE,  
Canadian Research Chair on Smart Medical Devices

PolySTIM Neurotechnology Laboratory  
Dept. of Elec. Eng., École Polytechnique de Montréal  
m.sawan@polymtl.ca

**PART I**

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## Biomedical circuits and systems to recuperate neuromuscular functions

### OUTLINE

- **Introduction**
  - Purpose and motivation
- **Microelectronics activities**
  - Design, construction & test of smart medical devices (SMDs)
  - Typical architecture of an SMD
- ◆ **Focus on two main case studies**
  - Neurostimulation to recuperate the bladder functions
  - Intracortical neurostimulation to create vision for the blind
- ◆ **Required biocircuits & biosystems**
- ◆ **Research team and collaborations**
- ◆ **Conclusions**

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## Main technological breakthrough of FES based SMDs

- 1947, transistor allows circuit designs suitable for implants.
- 1952, first external pacemaker which was the size of a table radio of the time and was powered by 110 VAC.
- 1957, electrical stimulation in the inner ear of the acoustic nerve in a totally deaf human was reported.
- 1960, totally implanted pacemaker (Buffalo).
- 1961, peroneal nerve stimulator for foot drop in hemiplegics.
- 1968, implantation of an electrodes array on the visual cortex.
- 1980, first microchip was used to design small pacemakers.
- 1984, FDA approved the first cochlear implant for adults
- 1991, recording of neural activities
- 1992+, FES is widely used in several applications.

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## Main technological breakthrough (Cont'd)

- **Pacemaker:** More than 350,000 pacemakers annually;
- **Defibrillator:** More than 50,000 implants annually;
- **Cochlear implant:** More than 50,000 people worldwide;
- **Functional stimulation:** Recuperate hands and legs movements of paralyzed patients;
- **Stimulation for the treatment of Parkinson, respiration, pains, sclerosis, etc.;**
- **Automatic liberation of insulin** in diabetics;
- **Neuronal activities recording** for better control;
- **Devices for vision:** Creation of adequate vision for blinds;
- **Other implantable microsystems.**

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## Smart medical devices

### Proposed topology

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## Proposed SMD topology (cont'd)

### Global view

$$P_{total} = P_{link} + P_{reciever} + P_{regulator}$$

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## RF LINK to Transfer Power & Data (cont'd)

- ◆ **Power Transfer**
  - Efficiency and safety.
- ◆ **Data transmission**
  - Manchester based code:
  - PSK based code: BPSK Demodulator (COSTAS Loop)
- ◆ **Link features**
  - Full duplex mode
  - Transfer rate:
    - LSK: 200kb/s
    - BPSK: 1Mb/s
    - PSK demodulator consumes only 529 fW.
    - QPSK may double the data rate.

Without Feedback: 12.03%  
With Feedback: 22.04%

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## The bladder controller: Dual-stimulator

- Lower urinary tract deficiency following a spinal-cord injury;
  - Incontinence and/or retention.
- Multiples complications at several levels (Sphincter, bladder and kidneys) due to repetitive catheterizations;
- Bladder hyperreflexia (hypertrophy or atrophy) hardly curable with traditional medicine;
- Electrical stimulation of the detrusor muscle allows partly voiding and may induce kidney complication.

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## The bladder controller: Dual-stimulator

- Conventional electrical neural stimulation (ENS) induces contraction on both detrusor and sphincter, thus preventing from complete voiding;
- Conventional ENS combined with nerve rhizotomy is not accepted by patients;
- Early ENS tends to reduce the hyperreflexia phase, while limiting damage in the whole urinary system;
- Selective neural stimulation proposed by our team allows efficient voiding of the bladder;
- Permanent low frequency combined with selective stimulation are proposed by our team to improve bladder function.

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### The bladder controller: Dual-stimulator Selective neural stimulation

- Selective stimulation allows improvement in voiding.
- This technique duplexes high and low frequency stimuli to activate both categories of sacral nerve fibres.
  - HF stimuli for somatic fibres which innervate the sphincter.
  - LF stimuli for parasympathetic fibres which innervate the detrusor.

**POLY Stim**  
Pulsed field stimulation

### The bladder controller: Dual-stimulator Selective neural stimulation

- First selective stimulator includes:
  - Implant of 3.5 cm of diameter built around field programmable devices (FPD) and other discrete components;
  - Shape Memory Alloy (SMA) based cuff electrodes to facilitate connecting to sacral roots;
  - User friendly external controller based on FPD and other discrete components.

**POLY Stim**  
Pulsed field stimulation

### The bladder controller: Dual-stimulator Permanent/Selective stimulations

- Proposed dual-stimulator includes:
  - Permanent LF stimulation: Low amplitude train:
    - Prevent the bladder hyperreflexia
    - Maintain the bladder shape
    - Selective stimulation.

- The system includes:
  - Battery to power the permanent stimulator;
  - RF link for the selective stimulation.

**POLY Stim**  
Pulsed field stimulation

### The bladder controller: Dual-stimulator Implant description

- External controller
- Subcutaneous Implant (~ 2.5cm)
- One cuff electrode pair

**POLY Stim**  
Pulsed field stimulation

### Bladder dual neurostimulation: Results

- Intravesical and intraurethral pressures measurements and Electromyographic (EMG) recording of the pelvic floor muscles were performed.

Voiding by selective stimulation

**POLY Stim**  
Pulsed field stimulation

### The visual cortical stimulator Evolution

- 1960s, few groups began to investigate the possibilities of exploiting the phenomenon of creating points of lights;
- 1990s, Researchers at NIH demonstrate that **intra cortex stimulation** allows to generate **phosphenes**;
- Progress in **microelectronics** and **microfabrication** motivate researchers to explore several approaches;
- Dobelle institute, New York, early in 2000 presented a patient holding a PC which drives a **percutaneous connector** toward the skull to **extracortical visual region**;
- We start this project in 1996
  - Early 2000, a prototype has been completed to prove the **feasibility of a visual cortical stimulator**
  - Miniaturized implant version** is being achieved.

**POLY Stim**  
Pulsed field stimulation

### The visual cortical stimulator Approaches

- Researchers worldwide are developing solutions
- Several approaches are being explored:
  - Artificial retina;
  - Cuff electrodes around the optic nerves;
  - Tongue stimulation;
  - Surface stimulation of the visual cortex;
  - Intracortical stimulation of the visual cortex.
- Intracortical stimulation does not depend on the health of the eye or optic nerve; also, it requires stimuli at low current level. It can be the predominant one:
  - Artificial retina requires functional retina and optic nerve;
  - Surface stimulation of the visual cortex is imprecise;
  - Other techniques only allow sensory substitution.

**POLY Stim**  
Pulsed field stimulation

### Artificial retina / Extracortical stimulation

- Experimentation
  - Stable, non flickering phosphenes;
  - Able to distinguish shapes;
  - Lower threshold in macular region;
  - Resolution restricted by electrode shape
  - Mechanical properties challenges.
- Extracortical surface stimulation
  - Images feed from a digital camera to a belt-mounted processor;
  - commands send through the skull, and into the visual cortex.
- Optic nerve stimulation
  - Fixed, stable phosphenes
  - Many phosphenes with few electrodes
  - Phosphene characteristics widely variable.
- Tongue stimulation, and auditory or tactile input.

**POLY Stim**  
Pulsed field stimulation

### Intracortical stimulation

- Intra-cortical stimulation
  - Better resolution
  - Better control on phosphenes
  - Lower power
  - Lower chances of seizure.
- Subject: 38 year old woman
  - Parameters affect brightness, not position
  - Elicits stable phosphenes
  - Threshold ~15  $\mu$ A (as low as 1.9  $\mu$ A)
  - Short term accommodation.
- Experiments in Monkey at IIT
  - Recently, report good news.

**POLY Stim**  
Pulsed field stimulation

### The visual cortical stimulator

#### The whole proposed system

The diagram shows an input image being captured by a camera, processed by an image processor and power source, transmitted wirelessly to a controller chip, which then sends signals to stimulator chips on the cortex. An antenna is also shown for bidirectional communication.

- Input Image
- Camera
- Image Processor & Power source
- Wireless Transmitter
- Controller Chip
- Antenna
- Stimulator Chips
- Cortex

• The system includes 4 main components:

- Camera mounted onto a pair of glasses;
- External controller to process the images and commands;
- Bidirectional data & energy transmitted by RF link;
- Implant to stimulate the region of the cortex controlling vision.

FOLY Stim

### The visual cortical stimulator

#### Undertaken research projects

The diagram shows the flow from a front-end (camera & DSP) through RF data and power, timing hardware, isolation, and a stimulator to an interface and finally an implant. A prototype VCS is also shown.

Front-end (Camera & DSP) → RF Data and Power → Timing Hardware → Isolation → Stimulator → Interface → Implant

External Components: Development board, Controller, Antenna

Implanted Components: Stimulator, Interface, Matrix of electrode

• System level optimization:

- Front-end for efficient image processing;
- Tx / Rx for power efficiency and data rate;
- Power consumption, size, safety, biocompatibility;
- Interface for shape and surface.

Prototype VCS

PVCS emulating a 16x16 matrix

FOLY Stim

### The visual cortical stimulator

#### Integrated smart devices

#### Block diagram

The block diagram shows the integration of external components, inputs, direct mapping, low-level data management, and the implant itself, connected to a VDB source and display.

External components, Inputs, Direct Mapping, Low Level Data Manag., VDB source, Inverse Stim. Site to PVC Mapping, Display, Implant

FOLY Stim

### The visual cortical stimulator

#### Implant architecture

#### Components of Multi-modules

- Stimulator Modules (SMs)
  - Multi-channel stimulation
  - Electrode-tissue and stimulator monitoring
- Interface Module (IM)
  - Bidirectional wireless Communication
  - Implant control
  - Power recovery / management
  - A/D Conversion of monitoring data

FOLY Stim

### Implant architecture

#### Stimulator Module

#### Features (flexibility/safety)

- Current Constant Stimulation
  - Monopolar, Bipolar
  - Single/Multiple paths
- Configurable Comm. protocol
  - One to 25 bits per pulse. Enables the use of a LF Ck
  - System power saving
- Voltage Monitoring
- RAM Configured Parameter continuous error checking
  - Disables stimulation in case of Parameter corruption
- Compatible with electrode matrix dimensions
  - 4 x 4 electrodes (prototype)

FOLY Stim

### Implant architecture

#### Interface Module

#### Power supply

- External Coil, Rectifiers

#### Communication

- Downlink
  - ASK: keep OOK for its simplicity (Tx/Rx), but need higher data rate
  - vAI digital + Demodulator
- Uplink
  - Manchester LSK

#### System Control

- Power management
- Communication Error detection/correction
- Stimulator Instructions Dispatching

#### Monitoring

- Actual Stimulating Current
- Voltage at every stimulation site

FOLY Stim

### Downlink Communication

#### Power Efficiency vs Data Rate

#### Downlink Tradeoff

- High Q > Best efficiency, but
  - Envelop transition speed limited by Q-factor of receiver when rectifier diodes voltage is reversed.
- Limited Data Rate
  - Manchester encoding does not allow more than ~500 kbps @ 13.56 MHz.
  - Enhance Data Rate & Power Transfer by reducing carrier OFF period.
- Digital decoder
  - Based on carrier signal attenuation
  - Toggling of attenuated carrier detected by extra toggling of direct carrier.

FOLY Stim

### Monitoring & Data uplink

#### V / I monitoring

- Sampling at any programmable time
- Voltage measurement
  - Any stimulation site during normal stimulation
- Current monitoring
  - Actual stimulation current measurement

FOLY Stim

### Cortical stimulation

#### Stimulus mode/type

#### Anodic/Cathodic Stimulation

- Monopolar
  - Distant reference
  - Distributed reference
- Bipolar
  - Current sink
  - Current sink/source
  - Current source

Flexibility

- Modular: Variable number of stimulation sites
- Stimulation modes: Mono / Bipolar, Single / distributed return terminal

Safety

- Electrical Isolation: Allows stimulation current / voltage monitoring.

FOLY Stim

### Implementation Results

- Stimulation Module (4 x 4 Matrix)
  - CMOS 0.18  $\mu\text{m}$ , ~60 000 Gates
- Downlink
  - > 1 Mbps @ 13.56 MHz, Duty Cycle = 67%
  - 500 kbps @ 13.56 MHz, Duty Cycle > 85%
- Uplink: 200 kb/s
- Power: <1mW/SM @ 1MHz
  - > 100 mW load capability, P (err) < 10<sup>-4</sup>
- Sufficient for 1000 stimulation sites
  - 256 stimulation patterns @ 50 Hz.

Implant 28

### Cortical stimulation Implant assembly

- Modular
  - One controller & several stimulation Units
- Flexible substrate
  - Wireless
- Power/data link
  - Data rate > 1 Mb/s
  - Max. Sim. Freq.: > 1 kHz @ 500 Elec.
- I / V Monitoring
  - Sim. Units.
- Physical Dimensions
  - SM's area: 3.6x3.5 mm<sup>2</sup>
  - Substrate: 60  $\mu\text{m}$
  - Stim Assembly: 1 mm thick
  - Electrode Length: 2 mm
  - IM's Area: ~ 2.2 cm<sup>2</sup>
  - Crier thickness: ~ 3 mm.

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### In vivo testing Collaboration with the INM

- Parameters
  - Amplitude, Pulse width, Inter-phase delay, Direction of first pulse, Pulse frequency, Pulse train duration, and Inter-train delay.
- Only electrodes in subject; Configurable hardware; "Large" output voltage swing.

Electrode matrix: 400  $\mu\text{m}$  spac; Platinum tip; Polyurethane coating; Low impedance.

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### Image acquisition/processing

- Challenges and Need for Optimization
  - Stimulator
    - Physical Properties
    - Safety
    - Data rate
    - Stimulation Flexibility
    - Power efficiency
  - External Control
    - Relevance of Data
  - Placement flexibility, wiring
  - Chronic usage
  - High number of stimulation sites
  - Prototype
  - High parallelism
  - Low resolution
- Control on phosphene parameters
- Acquired Image
- Actual Perceived Image (Direct Fit)
- Irregular Density
- Size Sensitivity

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### Image acquisition/processing Main specifications

- Dedicated image processor
  - Allow to transform images over visuotopic maps;
  - Follow a bottom-up approach until percepts are understandable;
  - Must deal with every image encountered in real world;
  - Be safe for patient.
- Required processing
  - Present image as the patient would see it;
  - Hardware implementation of processing algorithms;
  - Low power consumption topologies.
- Minimize power consumption with other techniques than circuit optimization approaches.

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### Image acquisition/processing Electrically invoked phosphenes

- Visuotopic Maps
  - Experimental determination
  - Creation of random maps:
    - Investigation of representation needed for a VCS.
- Constructed from experiments OR from
- Visual Data Base Generator
  - Realistic Visuotopic Map
    - Set of Phosphene Visual Field Coordinates
    - Generated from probabilistic parameters weighted with Schwartz retinotopic relationship and estimated electrode placement.
  - Other undertaken research topics:
    - Probabilistic weighted PVFC variations and phosphene parameters:
      - Sensitivity, Size, Brightness, etc.

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### Image acquisition/processing Visual Data Base (cont'd)

- Enhancement/mapping
  - Image has to be enhanced for clarity, then mapped to the available PVFCs.
  - The Visual Field Emulator allows perception prediction / evaluation from the research team.
- Sample Visuotopic Map
- Vertical Angle
- Horizontal Angle
- Fovea
- Fitting example

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### Image acquisition/processing Power Optimization

- With large electrode arrays, stimulation current represents a major part of power consumption
  - Pre-determined image scanning results in large current variations
  - Adaptive scanning method regulates current demand
    - Equalize the required power and reduce the peak demands
- Required stimulation current for 1 sample frame
- Total stimulation current
- Final Scan average
- Adaptive Scan average

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### Summary

- Typical topology of inductively coupled implantable electronic devices
- Dual-stimulator to recuperate the bladder functions
- Main issues of a visual cortical stimulator
  - Stimulation in the visual cortex may soon permit true artificial vision
  - Other undertaken research topics:
    - Design of dedicated image sensor
    - High voltage stimulation techniques
    - Modeling, simulation and in vivo validation
    - Measurement techniques of neuronal activities
    - Assembly several arrays:
      - 128, 500, and 1000+ microelectrodes.
- Nanoelectronics will allow the design of additional devices.
- Microelectrodes & power sources are among the major works.
- Such medical devices include validation and involve physicians, engineers, health care professionals, families, etc.

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