

# Real Time and Embedded Systems

by

Dr. Lesley Shannon

Email: [Ishannon@ensc.sfu.ca](mailto:Ishannon@ensc.sfu.ca)

Course Website: <http://www.ensc.sfu.ca/~Ishannon/courses/ensc351>



*Simon Fraser University*

Slide Set: 3

Date: September 20, 2011

# Slide Set Overview

---

- Synchronization
- Mutexes
- Semaphores
- Classic IPC and Synchronization Problems

---

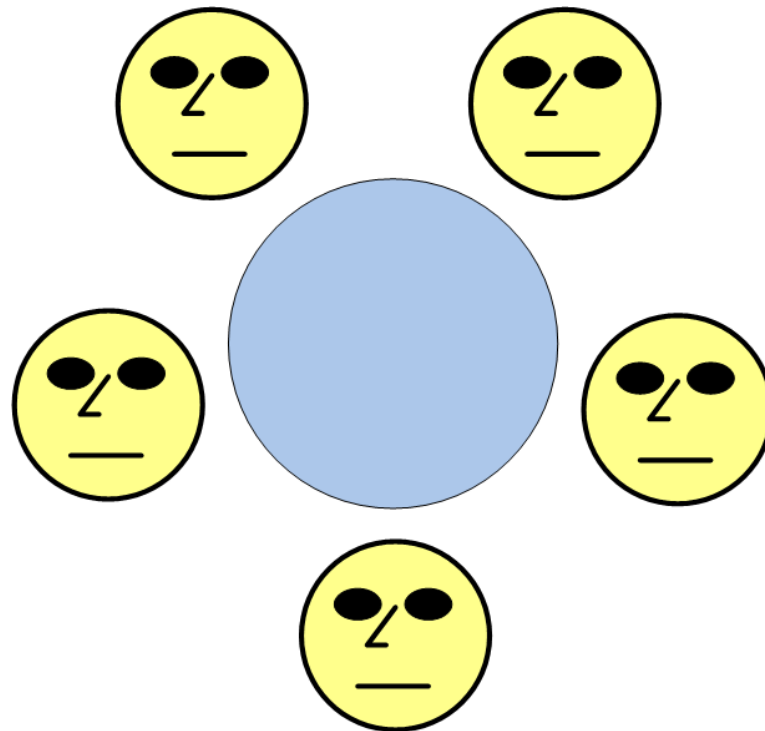
# Synchronization

---

# The Dining Philosopher's Problem

---

- Five philosophers sit around a circular table.



# The Dining Philosopher's Problem

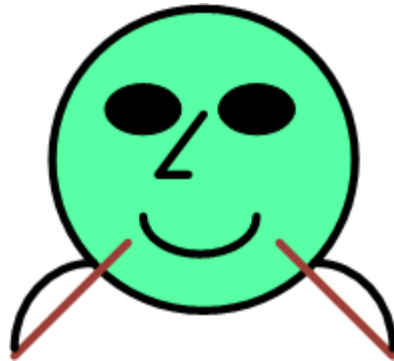
---

- Each philosopher spends his life alternatively:

thinking and...



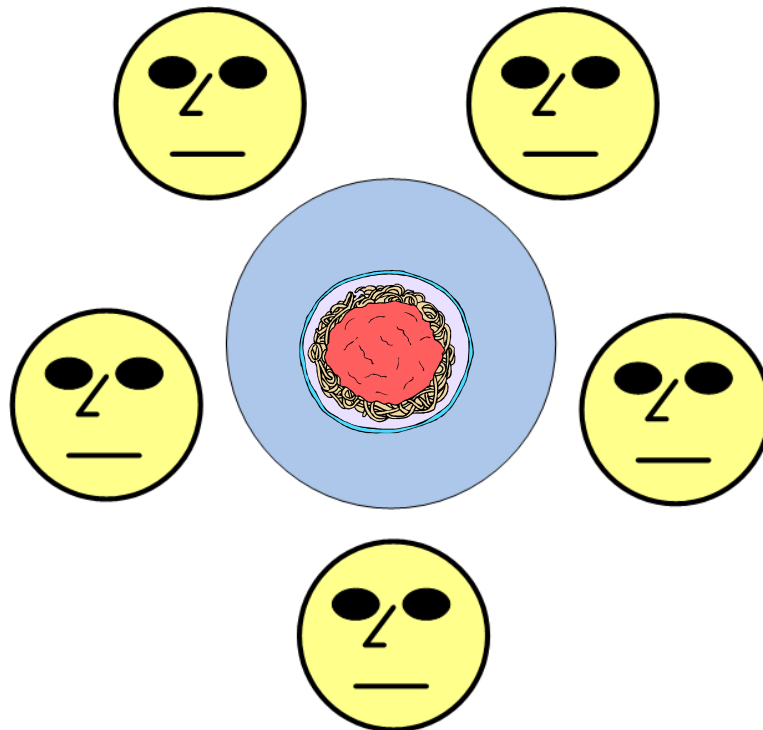
eating.



# The Dining Philosopher's Problem

---

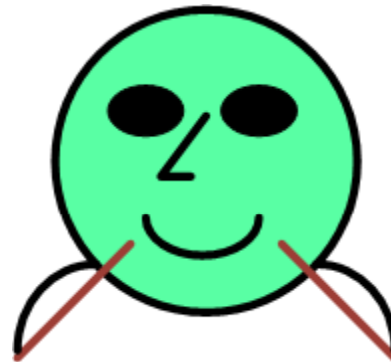
- In the centre of the table is a large plate of noodles.



# The Dining Philosopher's Problem

---

- A philosopher needs two chopsticks to eat a helping of noodles.



# The Dining Philosopher's Problem

---

- Unfortunately, philosophy is not as well paid as computing

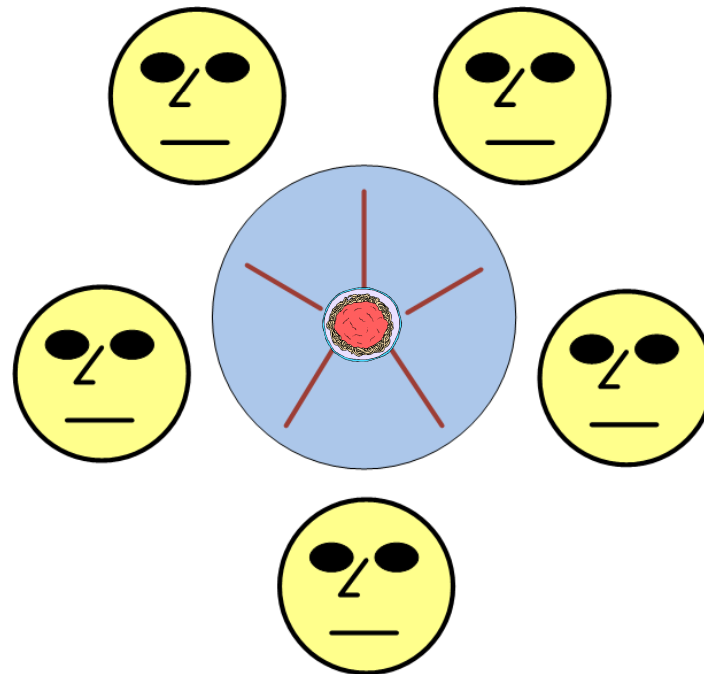




# The Dining Philosopher's Problem

---

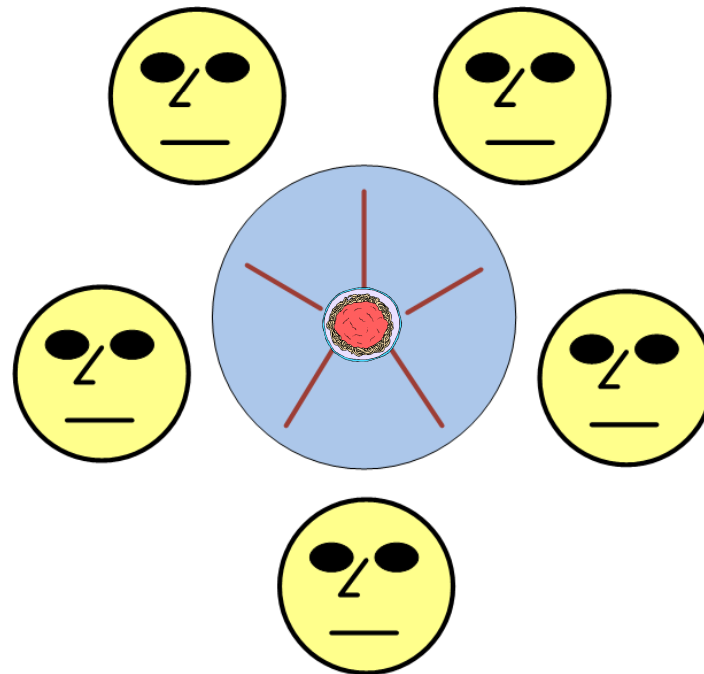
- The philosophers can only afford five chopsticks. One chopstick is placed between each pair of philosophers.



# The Dining Philosopher's Problem

---

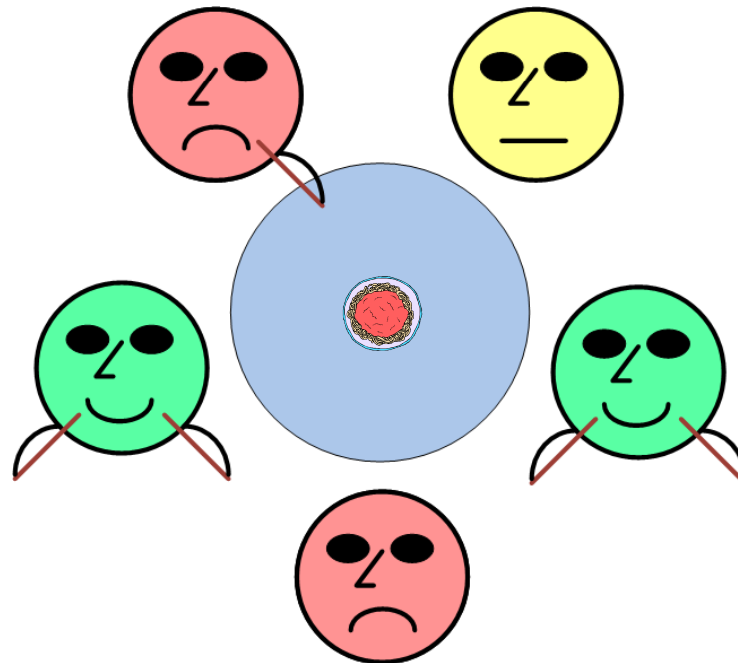
- The philosophers agree that each will only use the chopstick to his immediate right and left



# The Dining Philosopher's Problem

---

- Philosophers are depicted in yellow when they are thinking, red when hungry and green when eating.



---

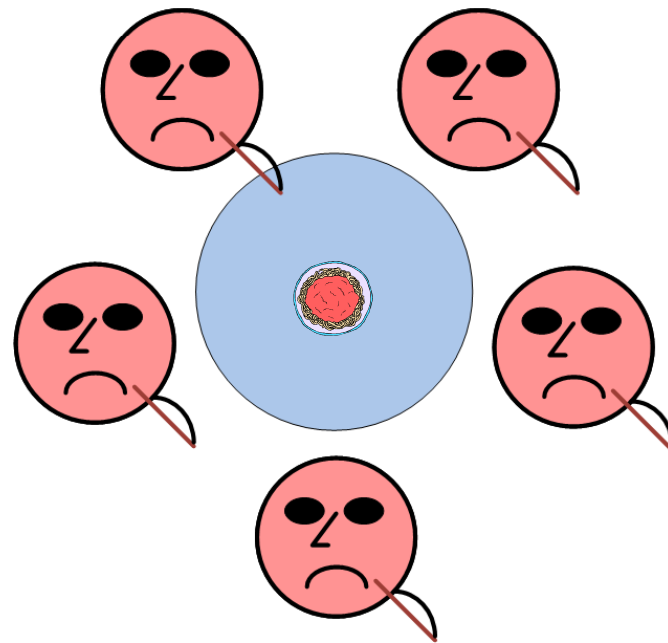
# Why do we need synchronization?

---

# The Dining Philosopher's Problem

---

- What if all of the philosophers decide to eat at the same time?
- And what if they are prepared to wait to eat?

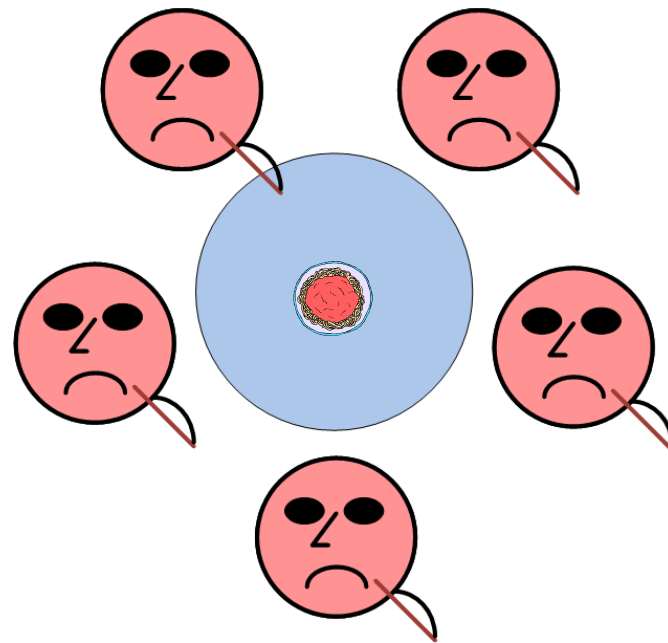


# The Dining Philosopher's Problem

---

- What if all of the philosophers decide to eat at the same time?
- And what if they are prepared to wait to eat?

- This could lead to deadlock!!!

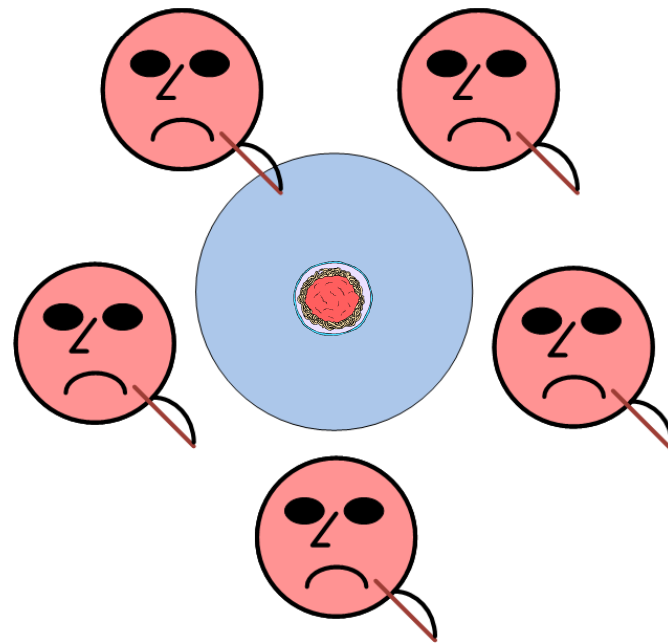


# The Dining Philosopher's Problem

---

- What if all of the philosophers decide to eat at the same time and what if they are only allowed to wait for a fixed time?

- This would lead to livelock!!!



---

Either way the poor philosophers suffer  
from *starvation*!!

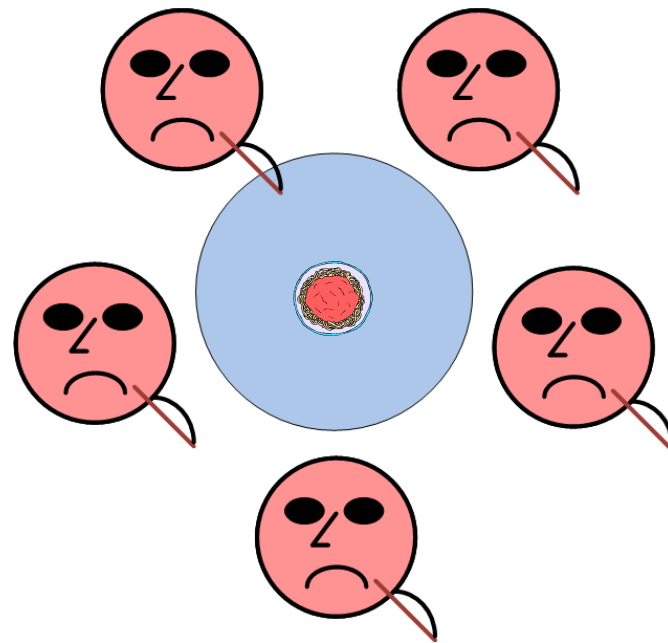




# The Dining Philosopher's Problem

---

- How do we solve this problem?



---

Now let's think about this in terms of  
programming

---

# What if we have these Cooperating Processes?

---

```
proc_0 ()
{
    while (TRUE)
    {
        <compute_section>;
        sum += .....;
        <critical_section>;
    }
}

proc_1 ()
{
    while(TRUE)
    {
        <compute_section>;
        sum += .....;
        <critical_section>;
    }
}

<shared global declarations and initial processing>
fork(proc_0, 0); //fork(process_call, args);
fork(proc_1, 0);
```

# Assumptions about this code

---

- Writing and reading a memory cell common to the two processes is an indivisible operation
  - Any attempt by the two processes to simultaneously execute read or write operations will result in some unknown serial ordering of the two operation
  - The operations will not happen at the same time
- The processes are not assumed to have any priority,
  - Neither one or the other would take precedence in the case of simultaneous attempts to enter a critical section

# Assumptions about this code

---

- The relative speeds of the processes are unknown
  - One cannot rely on speed differentials (or equivalence) in arriving at the solution (i.e. predict what will happen)
- These processes are assumed to be sequential and cyclic

---

We need protection for the critical regions of  
code

---

## Acceptable solutions to the critical section problem are required to meet the following constraints

- Only one process at a time should be allowed to be in its critical section (**mutual exclusion**)
  - i.e. ***mutex***
- Given a critical section is free
  - If a set of processes indicates a need to enter into the critical section, then only those processes competing for the critical section participate in the selection of the process to enter the critical section

## Acceptable solutions to the critical section problem are required to meet the following constraints

- Once a process attempts to enter its critical section, it cannot be postponed indefinitely
  - Even if no other process is in its critical section
- After a process requests entry into its critical section, only a bounded number of other processes may be allowed to enter their related critical sections before the original process enters its critical section



---

Now a little history...

---

# Dijkstra's Semaphore Primitives

---

Edsger Dijkstra invented the **semaphore**

- A primitive to accomplish process synchronization [Dijkstra, 1968]
- Introduced the idea of “cooperating sequential processes”
- Illustrated why synchronization is difficult with conventional machine instructions

# Dijkstra's Semaphore Primitives

---

In the original paper:

- the “P” operation was short for the Dutch proberen “to test”
  - `int sem_wait (sem_t *sem)` in Linux
- the “V” operation was short for verhogen “to increment”
  - `int sem_post (sem_t *sem)` in Linux
- Let's look at this in more detail

# Using Semaphores

---

- A semaphore,  $s$ , is a non-negative integer variable (i.e a Whole Number) tested or changed by only one of two **indivisible** (**atomic**) routines:
  - P( $s$ )/ sem\_wait( $s$ )
    - [while( $s==0$ ) {wait};  $s = s-1$ ;]
  - V( $s$ )/sem\_post( $s$ )
    - [ $s = s+1$ ;]
- The square braces surrounding the statements indicate that the operations are **indivisible/atomic**

# Using Semaphores

---

- Easy case:
  - $V(s)/\text{sem\_post}(s)$ 
    - $[s = s+1;]$
- The operation  $[s = s+1;]$  cannot be interrupted until it has completed

# Using Semaphores

---

- Harder case:
  - P(s)/sem\_wait(s)
    - [while (s==0) {wait}; s = s-1;]
- If  $s > 0$ :
  - s is tested and decremented as an indivisible operation
- If  $s = 0$ :
  - the process executing the sem\_wait() command can be interrupted when it executes the **wait** in the **while** loop
  - The indivisible operation only applies to the test and resulting control flow

# How do we use a semaphore as a “mutex” to protect the critical section?

---

```
proc_0 () {
    while (TRUE) {
        <compute_section>;
        <critical_section>;
    }
}

proc_1 () {
    while(TRUE) {
        <compute_section>;
        <critical_section>;
    }
}
```

```
semaphore mutex =1;
fork(proc_0, 0); // Or now a days pthread_create(proc_0,...)
fork(proc_1, 0); // Ditto
```

//Note sem\_init's pshared value changes depending on whether it is to be shared between processes or threads

# Using Mutexes

---

- Recall, a semaphore,  $s$ , is a non-negative integer variable tested or changed by only one of two **indivisible** (**atomic**) routines:
  - $P(s)$ / `sem_wait(s)`
    - `[while(s==0) {wait}; s = s-1;]`
  - $V(s)$ /`sem_post(s)`
    - `[s = s+1;]`
- Conceptually, a mutex is a semaphore with a count of one, however, it may have different properties
  - e.g. Priority inheritance



# How do we use “mutex” to protect the critical section?

---

```
thread_0 () {
    while (TRUE) {
        <compute_section>;
        access(CS_resource);
    }
}

thread_1() {
    while(TRUE) {
        <compute_section>;
        access(CS_resource);
    }
}
```

//Initialization:

```
ResourceType *CS_resource; //Critical Section resource
mutex mutex =1; //In Linux pthread_mutex_init(pthread_mutex_t *mutex)
Create_thread(thread_0, 0);
Create_thread(thread_1, 0);
```

```
//Check out pthread_mutex_lock; pthread_mutex_unlock
```

---

# Xilinx's MicroBlaze

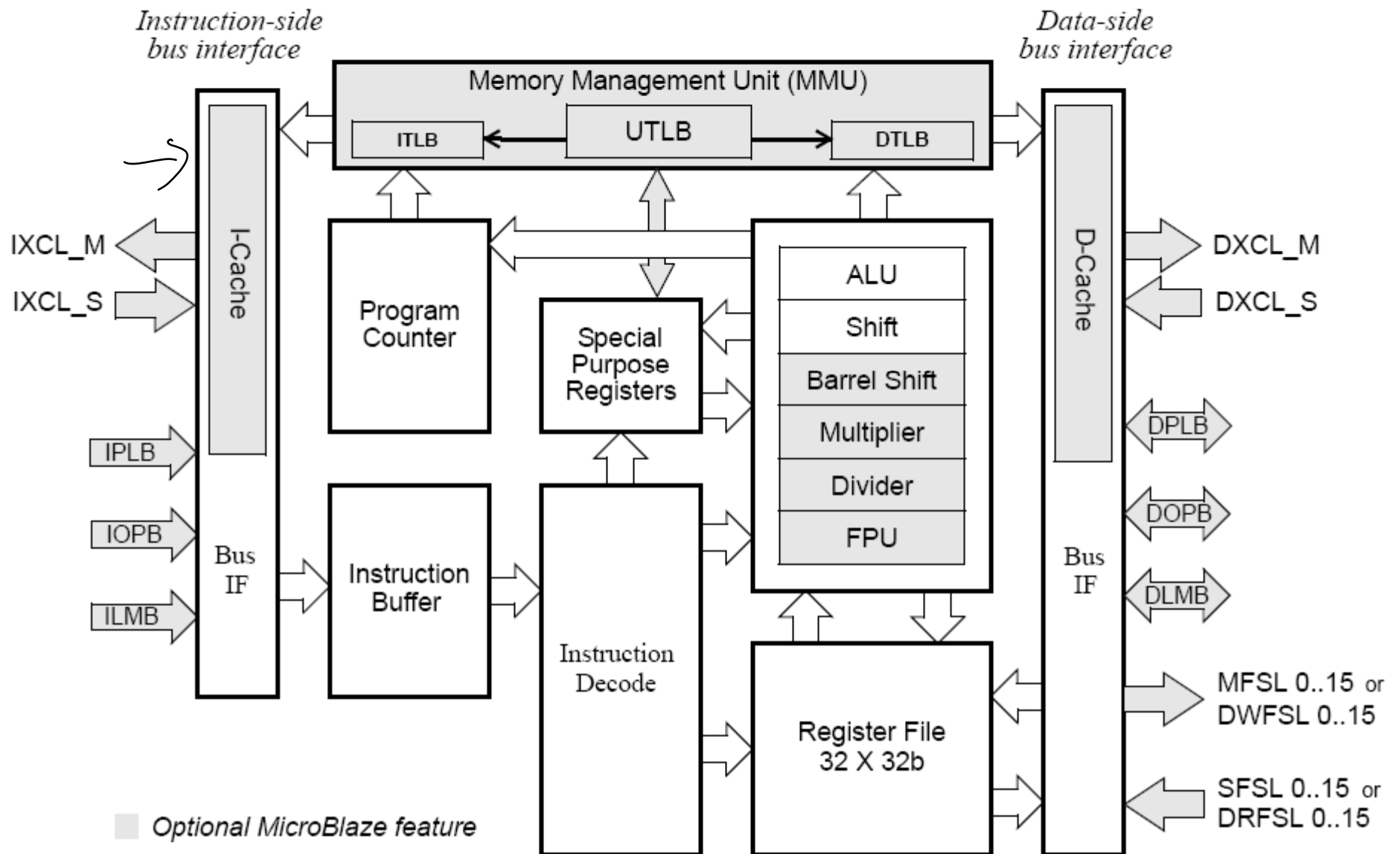
---

# Xilinx's MicroBlaze

---

- Harvard Architecture
  - Separate Instruction and Datapath
  - Used in:
    - DSP processor architectures
      - E.g. Blackfin from Analog Devices
    - Microcontrollers
      - E.g. PIC from Microchip Technology
- Resource Usage (Assuming lightweight)
  - ~1000 LUTs
  - ~800 Flipflops
- Max Frequency: ~250 MHz
- Xilinx also has PicoBlaze (look it up)

# MicroBlaze Processor



# MicroBlaze and Mutual Exclusion

---

- Has No “atomic” instructions
  - Uses “Load Word Exclusive” and “Store Word Exclusive”
  - Check out the “MicroBlaze Processor Reference Guide” available online for free:

[http://www.xilinx.com/support/documentation/sw\\_manuals/xilinx13\\_2/mb\\_ref\\_guide.pdf](http://www.xilinx.com/support/documentation/sw_manuals/xilinx13_2/mb_ref_guide.pdf)

---

# Synchronizing IPC

---

# Classic IPC and Synchronization Problems

---

- The Producer-Consumer Problem
- The Readers-Writers Problem
- The Sleeping Barber Problem

# Producer-Consumer Problem [Dijkstra, 1968]

---

- Picture a system with a Producer process and a Consumer process with  $N$  buffer resources
  - Bounds the memory resources
  - Keeps the processes synchronized
- The two processes communicate by:
  - Having the producer
    - Obtain an empty buffer from a pool of empty buffers,
    - Fill the buffer with information
    - Place the full buffer in a pool of full buffers
  - Having the consumer
    - Obtain a full buffer from the pool of full buffers
    - Copy the information out of the buffer
    - Place them empty buffer back in the empty buffer pool



# Producer Code

---

# Consumer Code

---

# Readers-Writers Problem [Courtois, et al, 1971]

---

- Suppose a resource is to be shared among a community of processes of two distinct types:
  - Reader
    - A reader process can share the resource with any other reader process but not with a writer process
  - Writer
    - A writer process requires exclusive access to the resource whenever it acquires access to any resource
- Similar to sharing a file among processes
  - Anyone can read the file, but when writing to the file, only one (writing) process has access

# Writer Code

---

# Reader Code

---

# The Sleeping Barber Problem [Dijkstra, 1968?]

---

- Based upon a “barber shop” with:
  - one barber,
  - one barber chair, and a
  - number of chairs for waiting customers.
- When:
  - There are no customers,
    - The barber takes a nap in his chair.
  - A customer arrives,
    - If all chairs are occupied, the new customer leaves
    - Else If the barber is busy cutting hair, the customer sits down
    - Else this is the first customer, so the barber wakes up

# The Sleeping Barber Problem [Dijkstra, 1968?]

---

- Readers-Writers or Producer-Consumer problem?
- Queueing Theory
- The Rendezvous Problem
- Possible Problems

# The Barber

---



# The Customers

---

# Questions?

---

- Our discussion about semaphores has been in terms of separate processes. What about threads? Could semaphores still be used?
- What state is a thread in when it is waiting for access to a critical section (ie waiting on a semaphore)?

# Questions?

---

- What does the term “atomic operation” mean?
- Semaphores provide controlled access to critical sections, but not necessarily mutual exclusion. Can a semaphore be used to provide mutual exclusion (ie act like a mutex)?

# Questions?

---

- Does Linux provide any mutex functions (not just semaphores)?
- Other than mutual exclusion (as opposed to just controlled access), are mutexes and semaphores the same?

# Questions?

---

- Dijkstra posed potential software solutions to the critical section problem and then explained why they failed [Dijkstra, 1968]. One of these examples will be on your midterm and/or final.